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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peterka et al.

Application No.: 09/831,073

Filed: May 3, 2001

For: DIGITAL TELEVISION RECEIVER WITH APPLICATION PROGRAMMING  
INTERFACE FOR USER MANAGEMENTCommissioner for Patents  
Washington, D.C. 20231**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on July 5, 2001.

By: Carol Prentice

Carol Prentice

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call the attention of the Examiner to the following reference:

**U.S. PATENTS:**

| <u>Patent No.</u> | <u>Patentee</u> | <u>Date of Issue</u> |
|-------------------|-----------------|----------------------|
| 5,815,678         | Hoffman et al.  | September 29, 1998   |
| 5,768,539         | Metz, et al     | June 16, 1998        |
| 5,758,257         | Herz, et al.    | May 26, 1998         |
| 5,742,772         | Sreenan         | April 21, 1998       |
| 5,717,691         | Dighe, et al.   | February 10, 1998    |

FOREIGN PATENT DOCUMENTS:

| <u>Country</u> | <u>No.</u>       | <u>Date of Publication</u> |
|----------------|------------------|----------------------------|
| EPO            | EP 0 944 257 A1  | September 22, 1999         |
| EPO            | EP 0 854 645 A2  | July 22, 1998              |
| EPO            | EP 0 817 041 A2  | January 7, 1998            |
| EPO            | EP 0 813 147 A2  | December 17, 1997          |
| Germany        | DE 196 50 515 A1 | June 25, 1998              |

PUBLICATION(S) :

1. Evain, J., "The Multimedia Home Platform", EBU Review - Technical, BE, European Broadcasting Union, Brussels, No. 275, March 21, 1998 (7 Pages).

2. Rath, K., et al., "Set-Top Box Control Software: A Key Component in Digital Video", Philips Journal of Research, Amsterdam, Vol. 50, no. 1 / 02 July 1996 (15 Pages).

3. Wall, W.E., "An Advanced Video Platform for the Cable Industry", Fourth International Workshop on Community Network Processing, Georgia, USA, September 11, 1997 (3 Pages).

4. Hartwig, S. "Softwarearchitekturen Für Interaktive Digitale Decoder", Fernseh Und Kinotechnik, Vol. 50. No. 3, Germany, March 1, 1996 (11 Pages).

The Hartwig article was identified as category A background information in an International Search Report received in connection with a related PCT application. The figures in Hartwig are labeled in English.

An English language Abstract is provided for German patent no. DE 196 50 515 A1.

Copies of the above-listed references are enclosed, together with a completed form PTO-1449.

This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application, and therefore no fee is due.

Consideration of these references by the Examiner is respectfully requested.

Respectfully submitted,



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Date: July 5, 2001  
ATTORNEY DOCKET NO.: GIC-560

ATTY. DOCKET NO.: GIC-560

SERIAL NO.:  
09/831,073**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

APPLICANT(S): Peterka, et al.

FILING DATE: May 3, 2001

GROUP:

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE           | NAME           | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|---------------------|----|-----------------|----------------|----------------|-------|---------------|----------------|
|                     | AA | 5,815,678       | Sept. 29, 1998 | Hoffman et al. |       |               |                |
|                     | AB | 5,768,539       | June 16, 1998  | Metz et al.    |       |               |                |
|                     | AC | 5,758,257       | May 26, 1998   | Herz et al.    |       |               |                |
|                     | AD | 5,742,772       | April 21, 1998 | Sreenan        |       |               |                |
|                     | AE | 5,717,691       | Feb. 10, 1998  | Dighe et al.   |       |               |                |
|                     |    |                 |                |                |       |               |                |
|                     |    |                 |                |                |       |               |                |

**FOREIGN PATENT DOCUMENTS**

|  |    | DOCUMENT<br>NUMBER | DATE           | COUNTRY | CLASS | SUB-<br>CLASS | TRANS-<br>LATION<br>YES NO |
|--|----|--------------------|----------------|---------|-------|---------------|----------------------------|
|  | AF | EP 0 944 257 A1    | Sept. 22, 1999 | EPO     |       |               |                            |
|  | AG | EP 0 854 645 A2    | July 22, 1998  | EPO     |       |               |                            |
|  | AH | EP 0 817 041 A2    | Jan. 7, 1998   | EPO     |       |               |                            |
|  | AI | EP 0 813 147 A2    | Dec. 17, 1997  | EPO     |       |               |                            |
|  | AJ | DE 196 50 515 A1   | June 25, 1998  | Germany |       |               |                            |
|  |    |                    |                |         |       |               |                            |
|  |    |                    |                |         |       |               |                            |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

|  |    |                                                                                                                                                                             |
|--|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | AK | Evain, J., "The Multimedia Home Platform", EBU Review - Technical, BE, European Broadcasting Union, Brussels, No. 275, March 21, 1998 (7 Pages).                            |
|  | AL | Rath, K., et al., "Set-Top Box Control Software: A Key Component in Digital Video", Philips Journal of Research, Amsterdam, Vol. 50, no. 1 / 02 July 1996 (15 pages).       |
|  | AM | Wall, W.E., "An Advanced Video Platform for the Cable Industry", Fourth International Workshop on Community Network Processing, Georgia, USA, September 11, 1997 (3 Pages). |
|  | AN | Hartwig, S., "Softwarearchitekturen Für Interaktive Digitale Decoder", Fernseh Und Kinotechnik, Vol. 50. No. 3, Germany, March 1, 1996 (11 Pages).                          |
|  |    |                                                                                                                                                                             |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.